

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: WATKINS, David I. et al.
Serial No.: Not Yet Assigned
Filed: 02 July 2001
Title: VACCINATION METHOD FOR EFFICIENT INDUCTION OF CYTOTOXIC T
LYMPHOCYTE RESPONSE
Docket: 960296.95874

INFORMATION DISCLOSURE STATEMENT

- [X]1. Pursuant to 37 CFR 1.97(b) [within 3 mos. of filing or prior to 1st Office Action]
[]2. Certification Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]
[]3. Fee Payment Pursuant to 37 CFR 1.97(c) before Final Office Action or Allowance]
[]4. Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d) [before issue fee payment]

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 & 1.98:

[X]5. A list of documents on form PTO-1449, together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith. (These are the references cited in the PCT International Search Report.)

This paper is submitted in accordance with:

- [X]6. 37 CFR 1.97(b): [within 3 mos. of filing or prior to 1st Office Action]
[]7. 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
[]8. The required certification made in item 11 below; or
[]9. The \$230.00 fee specified in 37 CFR \$1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.

[] 10. 37 CFR §1.97(d): [before issue fee payment]; and

- (a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attn.: PETITIONS EXAMINER" and if applicable, include batch locator information: e.g. "Allowed Files, Batch _____, Date of Allowance _____"), and

- (b) The required Certification is stated in item 11 below.

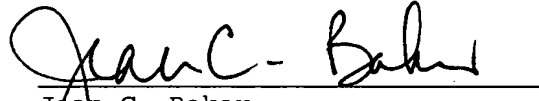
[] 11. Certification

- [] 12. Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

- [] 13. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, or to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

- [X] 14. Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 17-0055. An original and two (2) copies of this document are enclosed.

Respectfully submitted,


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